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ATTORNEY DOCKET NO.: EX101-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: John P. Gagnon, Todd Pihl, Michael Quarrey and Charlie Thebeau
Serial No.: 10/039,470
For: TECHNIQUES FOR MAKING NON-HALOGENATED FLAME
RETARDANT CROSS-LINKED POLYOLEFIN MATERIAL WHICH
IS SUITABLE FOR USE IN A CABLE
Filing Date: November 9, 2001
Examiner: Unassigned
Art Unit: 1731

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on:

Date: November 1, 2002

By: Crystal Slason
(Typed or printed name of person mailing Document, whose signature appears below)

Signature: *Crystal Slason*

Assistant Commissioner for Patents
Washington, DC 20231

TRANSMITTAL LETTER

Sir:

Enclosed is/are:

- [x] Transmittal Letter (this form, 2 pages, in duplicate), Total Pages: 4;
- [x] Information Disclosure Statement, Total Pages: 3;
- [x] PTO-1449 Form, Total Pages: 1;
- [x] Copies of cited references enclosed, Total References: 4;
- [x] Return Receipt Pre-paid Postcard (in duplicate), Total Postcards: 2;
- [x] Authorization to charge Deposit Account No. 50-0901, if required.

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U.S. Application No.: 10/039,470

Attorney Docket No.: FXI01-03

- 2 -

There is no fee required. If the U.S. Patent and Trademark Office deems a fee necessary, this fee may be charged to the account of the undersigned, Deposit Account No. 50-0901.

If the enclosed papers or fees are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned collect at (508) 366-9600, in Westborough, Massachusetts.

Respectfully submitted,

CHAPIN & HUANG, L.L.C.

By: 

David E. Huang, Esq.
U.S.P.T.O. Registration No.: 39,229
Attorney for Applicants
CHAPIN & HUANG, L.L.C.
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Westborough, Massachusetts 01581
Telephone: (508) 366-9600
Facsimile: (508) 616-9805

Dated: November 1, 2002



COPY

ATTORNEY DOCKET NO.: FX19723

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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U.S. Application No.: 10/039,470

Attorney Docket No.: FXI01-03

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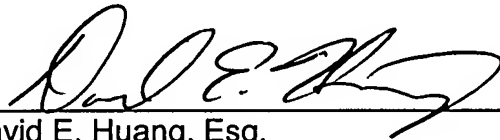
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David E. Huang, Esq.
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Document, whose signature appears below)RECEIVED
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Assistant Commissioner for Patents
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.129(a), or (If IDS filed as first/second submission after Final Rejection);
- ☒ under 37 CFR 1.97(b), or (If IDS Filed within three months of filing national application; or date of entry of the national stage in international application; or before mailing date of first office action on the merits; whichever occurs last);
- ☐ under 37 CFR 1.97(c) together with either:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, or
- ☐ a \$240.00 fee under 37 CFR 1.17(p), or (If filed after the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)

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- ☐ under 37 CFR 1.97(d) together with:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, and
- ☐ a Petition under 37 CFR 1.97(d)(2), and
- ☐ a \$130.00 petition fee set forth in 37 CFR 1.17(i). (If IDS filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)
- ☐ Applicant requests that the attached IDS and cited reference(s) _____ be placed in the application filewrapper. (If IDS filed after payment of issue fee)

Statement Under 37 CFR 1.97(e)

- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Enclosed herewith is form PTO-1449:

- ☒ Copies of the cited references are enclosed.
- ☐ Copies of cited references are enclosed except those entered in a prior application, having U.S. Serial No. _____, and references that are not required to be submitted under 37 CFR 1.98.
- ☐ The listed references were cited in the enclosed International Search Report in a counterpart foreign application.

Concise Explanation Requirement (required for non-English references):

- ☐ The "concise explanation" requirement for reference(s) _____ under 37 CFR 1.98(a)(3) is satisfied by:
 - ☐ the explanation provided on the attached sheet.
 - ☐ the explanation provided in the Specification.
 - ☐ submission of the enclosed International Search Report.
 - ☐ the enclosed English language abstract.

It is requested that the information disclosed herein be made of record in this application.

Method of payment:

☒ [X] No fee is required for this Information Disclosure Statement.

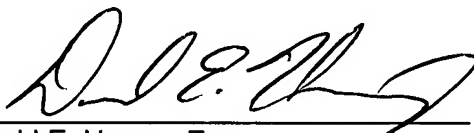
☐ [] Enclosed is a check in the amount of \$_____.

☐ [] A copy of this Statement is enclosed.

If a fee is required for this IDS, or if the enclosed fee is not sufficient, the Patent Office is hereby granted permission to charge Deposit Account No. 50-0901 (Chapin & Huang, L.L.C.) for any additional fees required for this IDS.

Respectfully submitted,

CHAPIN & HUANG, L.L.C.

By: 

David E. Huang, Esq.
U.S.P.T.O. Registration No.: 39,229
Attorney for Applicants
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Telephone: (508) 366-9600
Facsimile: (508) 616-9805

Dated: November 1, 2002

PTO-1449 REPRODUCED INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) <div style="border: 1px solid black; border-radius: 50%; width: 100px; height: 100px; display: flex; align-items: center; justify-content: center; margin: 0 auto;"> <div style="text-align: center;"> OIP NOV 06 2002 <small>PATENT & TRADEMARK OFFICE</small> </div> </div>				ATTORNEY DOCKET NO. <div style="text-align: center; font-size: 1.2em;">FXI01-03</div>		APPLICATION SERIAL NO. <div style="text-align: right; font-size: 1.2em;">10/039,470</div>			
				APPLICANT <div style="text-align: right;">John P. Gagnon, et al.</div>		FILING DATE <div style="text-align: center;">November 9, 2001</div>		GROUP <div style="text-align: center;">1731</div>	
U.S. PATENT DOCUMENTS									
EXAM- INER INI- TIAL	DOCUMENT NUMBER	DATE mm/dd/yy	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE			
AA									
AB									
AC									
AD									
AE									
AF									
AG	2,759,991	08/21/56	A. Rheiner, et al.	174	120	01/24/52			
AH	4,486,623	12/04/84	Ploppa	174	113	09/30/82			
AI	4,707,569	11/17/87	Yoshimura, et al.	174	116	05/23/86			
AJ	5,574,250	11/12/96	Hardie, et al.	174	36	02/03/95			
AK									
FOREIGN PATENT DOCUMENTS									
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION YES NO			
AL									
AM									
AN									
AO									
AP									
AQ									
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)									
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AS									
AT									
EXAMINER				DATE CONSIDERED					